

Good practice guidelines for hydroelectric-power schemes

Environmental site audit checklist



Please read through these guidance notes carefully before you fill in the checklist.

This checklist will take you through the main environmental considerations relating to your hydroelectric-power scheme.

Fill in this checklist to help you understand what information you need to provide us (the Natural Resources Body for Wales (hereafter 'Natural Resources Wales')) and how to get that information.

Send your filled-in checklist with your hydroelectric-power scheme pre-application form.

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Introduction

To develop a hydroelectric-power scheme, you must get certain approvals and permissions from us, your local planning authority and, in some cases, conservation organisations. These approvals and permissions may include:

- an abstraction licence (from us);
- an impoundment licence (from us);
- flood defence consent (from us);
- fish pass approval (from us); and
- planning permission (from the local planning authority).

To get these approvals and permissions you first need to fill in our **hydroelectric-power scheme pre-application form** and this **checklist**. Send them to us at:

Permit Receipt Centre
Natural Resources Wales
29 Newport Road
Cambria House
Cardiff
CF24 0TP

Or you can email them to us at
permitreceiptcentre@naturalresourceswales.gov.uk.

Filling in the pre-application form and checklist

The hydroelectric-power scheme pre-application form (WR315) is split into the following two sections.

- Part A for general information
- Part B for technical information

What you must do

You must fill in part A of the pre-application form. This does not ask for detailed technical information. If you can provide detailed technical information, also fill in part B.

You must also fill in this checklist and send it to us with your pre-application form.

There are six sections covering the following areas:

- 1 Water resources and hydromorphology (physical characteristics, such as size, shape and structure of a channel, its sediment, and the flow and quantity of water)
- 2 Conservation

- 3 Chemical and physico-chemical elements (relating to both physical and chemical characteristics)
- 4 Fisheries and biodiversity
- 5 Flood risk
- 6 Navigation

The sections will take you through the main environmental considerations for your scheme, the information you need to give us and how you can get that information. If you are just filling in the pre-application form to make initial enquiries, we would not expect you to have had any detailed investigations carried out. In these instances you should use the checklist to help you understand the information that we are likely to need as your application progresses.

If you tick a green box, you will not normally need to take any further action. If you tick a red box, the relevant note will give you guidance on the extra work you need to carry out.

What we will do

Once we receive your pre-application form and checklist we will assess your proposal. We may need to ask you for extra information to help us clearly understand your proposal.

Following our assessments we will tell you:

- what information you will need to provide in an environmental report to support your application;
- ask you to make a formal application (we will tell you how); or
- advise you against making a formal application (we will give our reasons for this).

Planning permission

Our pre-application form and this checklist do not specifically cover local authority planning issues or heritage issues relating to your scheme. We will give you advice on these issues if we can, but you are responsible for tackling these issues yourself. Your local planning authority (LPA) will need to be satisfied that the scheme is an acceptable use of the land and that any environmental risks or effects can be prevented or controlled, either through our permissions or by conditions attached to the planning permission. Your local planning authority may ask for an environmental impact assessment (EIA) if this is required by law and your scheme is expected to have a significant effect on

the environment. In that assessment we would expect you to deal with matters highlighted by the checklist. You can ask your local planning authority for a 'screening opinion' on whether you will need to do an EIA instead of the environmental report that we would otherwise need.

We will work with you and your local planning authority to make sure, as far as possible, that you provide all the necessary information in one environmental report or environmental impact assessment.

Fill in the checklist (all sections) and send it to us with your pre-application form and any supporting information asked for in the notes.

1 Water resources and hydromorphology

We realise that you may not have enough information to answer all questions in section 1 at the moment. In this case, the notes to the questions will give you an indication of the information you may need to provide as your application progresses.

	Yes	No
Is the scheme 'high head' – that is more than 4m head? (See note 1a for more information.)		
Will the scheme return all the water abstracted (removed) to the watercourse it was taken from? (See note 1b for more information.)		
Will the scheme use any existing weir, channel, leat or other structure? (See note 1c for more information.)		
Will the scheme raise the height of, or change the operation of, an existing weir in a way that increases the length of impoundment (water held back behind a weir or dam)? (See note 1d for more information.)		
Will the scheme create a new impoundment? (See note 1e for more information.)		
Have you made an assessment of the existing hydrology of the site, which includes analysing how your scheme would affect the volume of water flow or the water level in all existing and proposed rivers, channels and so on? (See note 1f and question 2 in the hydroelectric-power pre-application form for more information.)		
Will the turbine be placed in a channel (or pipe for some high-head schemes) which is separate from the main watercourse? (See note 1g for more information.)		
Will the scheme cause the flow in a river channel to become depleted (that is, will the flow in the river channel be reduced)? This is known as a depleted reach. (See note 1h for more information.)		
Will the scheme create an extended depleted reach? (See note 1i for more information.)		
Will the scheme cause the flow at a weir to become depleted (that is, will the flow over a weir be reduced)? (See note 1j for more information.)		
Are planned changes in the flow in depleted reaches likely to cause a significant change to the patterns and rates of sediment transfer? (See note 1k for more information.)		
Are the river conditions around the proposed site likely to make the water more turbid (cloudy with suspended matter) during the operation of the scheme? (See note 1l for more information.)		
Is the proposed scheme in a water body that is currently at good ecological status or good ecological potential under the Water Framework Directive? (See note 1m for more information.)		
Do you have a right of access to the abstraction locations of the scheme? (See note 1n for more information.)		

Notes

- 1a High head hydroelectric-power schemes are normally in upland streams and rivers, where there is a significant gradient but a lower volume of water. A high head (more than 4m head) means that less water is needed to generate power. There are important differences in the design of high-head and low-head (less than 4m head) hydroelectric-power schemes. For example, high-head schemes often divert water away from the stream and create much longer depleted reaches than low-head schemes. Extra environmental considerations may need to be given to high-head schemes.
- 1b If the abstracted water is returned to a different stream or river, you will need to consider the effects this might have on that stream or river. You will also need to consider the effects of reducing the flow in the stream or river the water has been taken from. We will probably ask you for an assessment of these effects.

- 1c If a new structure will be built, or existing structures changed, you will need a new impoundment licence or to change an existing licence. The details will depend on what exactly is going to be built. You may also need an environmental permit or flood defence consent for the proposed construction. You will probably need planning permission for the turbine, any structure enclosing it and any new access road. When you apply for planning permission, you may need to have an environmental statement or an environmental impact assessment to support your application. You may also need to provide a flood-risk assessment to support your applications for flood defence consent and planning permission.
- 1d Changing an existing impoundment may change the characteristics of the river, such as the pattern of erosion and how sediment is carried and deposited. You may need to provide a geomorphological assessment. In all cases, you will need to supply details of:
- the nature of the change (extra height of impoundment or change in operation and so on);
 - the length of impounded water (in metres) associated with the existing structure; and
 - the extra length of impounded water (in metres) that will be created as a result of the new scheme.
- 1e A new impoundment can have serious effects downstream. If you need to create a new impoundment, you may need to provide a detailed 'geomorphological' assessment to help us understand the effects on erosion and on how sediment is carried and deposited in the river.
- You will also need to consider:
- the cumulative effects from the new impoundment together with those from any existing impoundments; and
 - how the new impoundment will affect other bodies of water, both upstream and downstream.
- You should ask us for more details about geomorphological assessments and the appropriate techniques to use.
- 1f We will ask for this information at an early stage in the process. We will always try to make the information we ask for appropriate to the site and the proposed scheme. However, if the potential effects of the proposal mean that we need a more detailed assessment (for example, if the scheme lies within a national or European conservation site, there are significant fishery or biodiversity features or other interests on or around the river banks) you may need to provide extra information. This may mean that you need to carry out a comprehensive flow survey of the site, including measuring the current over a range of flows. We will try to let you know what we need as early as possible.
- We may be able to give you hydrometric information to help with your assessment. We manage a national network of river flow, river level and rainfall monitoring stations, and the information from these is available to the public. To find out what information we have available for your location, phone the National Customer Contact Centre on 0300 065 3000 (8am to 6pm Monday to Friday) or send an email to enquiries@naturalresourceswales.gov.uk / ymholiadau@cyfoethnaturiolcymru.gov.uk. Or you can look for information on the Environment Agency website at www.environment-agency.gov.uk/hiflows/search.aspx.
- 1g If the turbine is in a channel (or pipe) separate from the main watercourse, you will probably need an abstraction licence as well as an impoundment licence or flood defence consent (or both).
- 1h If the water for the hydroelectric-power scheme is taken through a channel that is separate from the river or stream the water was abstracted from, there will be a depleted reach (where the flow is reduced) in the main river or stream. You will need to provide an assessment of the extent and effects of the reduced flow. This should include the effects on its hydrology, biodiversity, ecology, fisheries, hydromorphology and so on. We will need detailed drawings of the proposed hydroelectric-power scheme, including the abstraction and discharge point. The ecological value of the depleted reach is important in determining the proportion of flow that can be used for generating power. You should avoid schemes that cause a depleted reach as the measures needed to reduce the effects of this will limit the amount of power your scheme could generate.
- 1i If your scheme abstracts water from the river and releases it over 200 metres downstream, this will create an extended depleted reach (see section 7 and table 2 in the Good Practice Guidelines). We are likely to need information on the physical characteristics of the river in order to determine how it will be affected by the abstraction. Schemes that significantly reduce the wetted area at the side of a river (by reducing flow in rivers with shallow banks) at low flows are most sensitive. Ditches or channels with minimal gradients are considered to be less sensitive. This information will guide the flow conditions we may need to set in any licence we issue.
- 1j If the water is abstracted immediately before a weir and returned immediately after it, only the weir has a depleted flow, which may affect the appearance of the weir, the characteristics and ecology of the weirpool, and fish passage. We are likely to ask for an assessment of these effects.
- 1k If the water for the hydroelectric-power scheme is taken through a channel or pipe that is physically separate from the watercourse there will be a depleted reach in the main watercourse. We will need a geomorphological assessment of the extent of, and effects of, the reduction in flow in this channel. The focus of this assessment will be on changes to the amount of sediment that can be carried through the depleted reach across the full range of flows.
- 1l River beds with high percentages of silt or fine material are likely to become more turbid when the hydroelectric-power scheme is in operation. The design of the scheme is also likely to be important. For example, where the abstracted water is released will affect the extent to which it disturbs the river bed. We may ask for an assessment of any likely changes in how turbid the river will become based upon these factors. Also, we may have to set licence conditions that make sure the scheme keeps to relevant laws on suspended solids (such as the Water Framework Directive).
- 1m You will need to look up the current ecological quality using the 'What's in Your Backyard' facility on the Environment Agency website at: http://maps.environment-agency.gov.uk/wiyby/wiybyController?x=357683.0&y=355134.0&scale=1&layerGroups=default&ep=map&textonly=off&lang=_e&topic=wfd_rivers. Put in the postcode or place name to zoom to the location of your proposed scheme.

1n You must have access to the locations where water is abstracted. So you must:

- have a right of access or a prospective right of access; or
- own or occupy the land.

Evidence of your right of access may include:

- a deed of grant or lease of rights;
- a conveyance, lease, tenancy agreement or personal rights; or
- a compulsory purchase order.

We will not accept a copy of a letter from the landholder as proof of a right of access. It must be something that creates or leads to a legal right or an interest in the land and is legally binding. You must be able to prove that your right of access continues for 12 months after any abstraction or impoundment licence is issued, unless you only need the licence for a period of less than 12 months.

2 Conservation

	Yes	No
Is the scheme within, or likely to affect, a Site of Special Scientific Interest (SSSI)? (See note 2a for more information.)		
Is the scheme within, or likely to affect, a Special Area of Conservation (SAC)? (See note 2b for more information.)		
Is the scheme within, or likely to affect, a Special Protected Area (SPA)? (See note 2c for more information.)		
Is the scheme within, or likely to affect, a national nature reserve? (See note 2d for more information.)		
Is the scheme within, or likely to affect, a local nature reserve? (See note 2d for more information.)		
Is the scheme within an Area of Outstanding Natural Beauty (AONB)? (See note 2e for more information.)		
Is the scheme within a national park? (See note 2f for more information.)		
Is the scheme likely to affect any waterfall, public footpath, heritage feature or conservation area? (See note 2g for more information.)		
Have formal ecological surveys been carried out on the site? (See note 2h for more information.)		
Does the scheme take account of protected species that may live at the site or nearby? (See note 2i and section 4 on page 6 for more information.)		

Under the 1999 EIA Regulations, an environmental impact assessment may be needed for any hydroelectric-power scheme in a sensitive area. Your local planning authority will tell you if you need to provide an environmental impact assessment.

Notes

2a You can get a map of the Welsh Sites of Special Scientific Interest (SSSI) from the Countryside Council for Wales website (www.ccw.gov.uk/landscape--wildlife/protecting-our-landscape/protected-sites-map.aspx). You can get a map of English Sites of Special Scientific Interest from Natural England's website (www.natureonthemap.org.uk). Your scheme is likely to affect a site of special scientific interest if:

- it is in the site;
- the scheme alters the river flow through the site; or
- it is an obstacle to fish migration.

If your scheme is likely to affect a Site of Special Scientific Interest we will need to find out whether the proposal is likely to damage the site, considering why it was designated as a Site of Special Scientific Interest. The Natural Resources Wales (NRW) or Natural England (NE) must be formally told about any work that may damage a Site of Special Scientific Interest.

2b You can get a map of the Welsh Special Areas of Conservation from the Countryside Council for Wales' website (www.ccw.gov.uk/landscape--wildlife/protecting-our-landscape/protected-sites-map.aspx). You can get a map of English Special Areas of Conservation from Natural England's website (www.natureonthemap.org.uk/map.aspx?m=int_sites). There is more information on all Special Areas of Conservation in the UK on the website at www.jncc.gov.uk/page-1458. Your scheme is likely to affect a Special Area of Conservation if it would remove or change the vulnerable natural habitats the site was designated for. If your scheme is likely to affect a Special Area of Conservation, the relevant authorities will be consulted. We will need to make sure there will be no damaging effect on the Special Area of Conservation.

- 2c There is a list of all Special Protected Areas in the UK on the JNCC Full UK SPA site list (www.jncc.gov.uk/page-1400). Your scheme is likely to affect a Special Protected Area if it removes or changes natural habitats that are important to the rare and vulnerable birds the site was classified for. If your scheme is likely to affect a Special Protected Area, the relevant authorities will be consulted. We will need to make sure that there will be no damaging effect on the Special Protected Area.
- 2d You can get a map of all national and local nature reserves from the Natural England website (www.natureonthemap.org.uk/map.aspx?map=nreserves) and the Countryside Council for Wales website (www.ccw.gov.uk/landscape--wildlife/protecting-our-landscape/protected-sites-map.aspx). The reserves are managed by different authorities, including local governments. Your scheme might affect a reserve if it is in one or it alters the river flow through one. We may contact the relevant authority, or Local Records Centre, to find out whether your scheme is likely to affect a reserve.
- 2e You can get a map of Welsh Areas of Outstanding Natural Beauty from the Countryside Council for Wales website (www.ccw.gov.uk/landscape--wildlife/protecting-our-landscape/protected-sites-map.aspx). You can get a map of English Areas of Outstanding Natural Beauty from the Natural England website (www.naturalengland.org.uk/ourwork/conservation/designatedareas/aonb/default.aspx). We may contact the relevant authority to find out whether your scheme is likely to affect an Area of Outstanding Natural Beauty. We will need to make sure that the scheme meets the objectives of the landscape protection.
- 2f You can get a map of national parks from the website at www.nationalparks.gov.uk/. Each national park has its own authority (which also acts as the planning authority). We may need approval from the national park authority. You may need to get advice from the authority to find out whether your scheme is likely to cause a problem.
- 2g We may ask for an assessment of any effect your scheme may have on popular features such as waterfalls, public footpaths and heritage features. We may need to consider these when making a decision on your application for a licence.
Listed buildings (including structures such as weirs) are designated by central government. Conservation areas are designated by local authorities and approved by the Government. You may need Listed Building Consent and Conservation Area Consent from your local planning authority. You will need to provide a Design and Access Statement with your planning application to explain design issues. Local circumstances may affect the design of the scheme and have a 'knock-on' effect on our permission. For example, some old weirs are listed structures that need Listed Building Consent as well as planning permission, and you may need to make changes to the design of your hydroelectric-power scheme to get permission from English Heritage and NRW in Wales.
- 2h We are likely to need ecological surveys on schemes in designated rivers or where designated species or habitats may be affected. The potential risks to those species and habitats will need to be considered. The exact requirements of any survey will depend on the specific site and the proposed scheme, the amount of existing information, and whether any vital information is missing. You are not expected to have carried out this work yet.
- 2i You can find information on protected species in Wales by visiting the website at www.ccw.gov.uk/landscape--wildlife/habitats-species/species-protection.aspx. You can get information on protected species in England by visiting the website at www.naturalengland.org.uk/ourwork/regulation/wildlife/species/europeanprotectedspecies.aspx. If a European protected species is likely to be affected by your scheme, you may need to get a protected species licence from the relevant authority before making your formal application. We will discuss this with you when we receive your pre-application.

3 Chemical and physico-chemical elements

We realise that you may not have enough information to answer all questions in section 3 at the moment. In this case, the notes to the questions will give you an indication of the information you may need to provide as your application progresses.

	Yes	No
Will the scheme discharge all of the abstracted flow back into the same watercourse? (See note 3a for more information.)		
Is there potential for pollutants to be discharged into the river while the scheme is being built or operated? (See note 3b for more information.)		
Are there existing licensed pollutant discharges into the depleted reach of the watercourse? (See note 3c for more information.)		
Will your scheme affect the thermal, oxygenation, salinity, acidification or nutrient conditions within the river? (See note 3d for more information.)		
Will the scheme reduce both the depth and the hydraulic residence time significantly in the depleted reach? (See note 3e for more information.)		

Notes

- 3a If flow is not returned to the watercourse it was abstracted from, the effect lower flows have on the physical and chemical water quality in that depleted reach of water will need to be assessed. We may need a copy of an assessment of any likely effects.
- 3b You must not use toxic chemicals for maintaining the scheme, and should prevent spillages. You must not discharge silt and other waste.

- 3c If you are not sure whether there are any existing discharges of pollutant in the depleted reach, we will help you to get this information once we have received your pre-application. It is important to find out whether there are any existing discharges as a reduction in dilution in the depleted reach is likely to have a negative effect on the water quality. In this case we may need the volume of water you are allowed to abstract to be reduced in order to protect the water quality in the depleted reach.
- 3d If your scheme reduces the river flow in a reach it is possible that some of the thermal, oxygenation, salinity, acidification or nutrient conditions in the river will change. This could happen in a number of situations, for example where the depleted reach of water receives an effluent discharge or other polluting input, with conditions changing as a result of reduced dilution of the effluent. In this situation we will need to find out whether this is acceptable within the parameters specified in the relevant law (Water Framework Directive). We may need you to carry out further surveys or provide extra information.
- 3e Use your hydrological assessment to help you find whether changing the depth and hydraulic residence time in the depleted reach will have an effect. Changes in the depth and how long it takes for water to flow through the depleted reach may lead to increased growth of algae. If this is likely, we may reduce the volume of water you are allowed to abstract in order to protect the ecological requirements under laws such as the Water Framework Directive. We may need you to carry out further surveys or provide extra information.

4 Fisheries and biodiversity

We realise that you may not have enough information to answer all questions in section 4 at the moment. In this case, the notes to the questions will give you an indication of the information you may need to provide as your application progresses.

	Yes	No
Are planned changes in the river flow likely to cause a significant change to the composition and abundance of any mosses and liverworts along the watercourse? (See note 4a for more information.)		
Are planned changes in the river flow likely to cause a significant change to the composition and abundance of other aquatic vegetation? (See note 4b for more information.)		
Are planned changes in river flow or water level likely to cause a significant change to the composition and abundance of macro-invertebrates living on or in the bed of the watercourse? (See note 4c for more information.)		
Are there migratory salmon or sea trout in the river? (See note 4d for more information.)		
Are there lamprey species, shad species or eels in the river? (See note 4d for more information.)		
Are there coarse fish or non-migratory salmon or sea trout in the river? (See note 4d for more information.)		
Is there an existing upstream fish pass? (See note 4d for more information.)		
Are the provisions for upstream fish passage satisfactory? (See note 4e for more information.)		
Are the provisions for screening fish and associated bywash satisfactory? (See note 4e for more information.)		
Will the scheme affect either the upstream or downstream passage of fish in the river? (See note 4e for more information.)		
Will the scheme affect any spawning or nursery areas? (See note 4f for more information.)		
Will the scheme affect any river stretch used for angling? (See note 4g for more information.)		
Are planned changes in river flow likely to cause a significant change to the composition and abundance of fish populations? (See note 4h for more information.)		

Notes

- 4a You may be able to get survey information on mosses and liverworts from your local Natural Resources Wales office. We will discuss this with you once we have received your pre-application. If survey information is not available, you may need to carry out a survey to find out whether the scheme will reduce or prevent good ecological status (under the Water Framework Directive). Mosses and liverworts may also be protected in some areas such as Sites of Special Scientific Interest. Schemes that significantly reduce the wetted area at the side of a river (by reducing flow in rivers with shallow banks) are most likely to affect mosses and liverworts.

- 4b You may be able to get survey information on aquatic vegetation from your local Natural Resources Wales office. We will discuss this with you once we have received your pre-application. If survey information is not available, you may need to carry out a survey to find out whether the scheme will reduce or prevent good ecological status (under the Water Framework Directive). Schemes must avoid significant changes in aquatic vegetation. Such changes could occur where schemes significantly alter the water level or speed of a river. This would be likely to change their habitats and so cause changes in species or composition. This may break the requirements of laws such as the Water Framework Directive, which would prevent you from getting permission for your scheme. We may ask you to provide an analysis which indicates how you will make the effects of those changes less severe in macrophyte and diatom communities (for example, by avoiding significant changes in water level, the speed of flow or other factors that could change habitats).
- 4c You may be able to get information on the macro-invertebrates present in the affected waterbody (stretch of river) from your local Natural Resources Wales office. We will discuss this with you once we have received your pre-application. If information on these ecological elements is not available, you may have to carry out a survey to make sure that the ecological status waterbody will not be damaged as a result of the scheme. Schemes must avoid significant changes in macro-invertebrate communities. Such changes could arise where schemes significantly alter the water level, speed of flow, surface of the river bed substrate or turbidity (cloudiness) of a river. This would be likely to modify their habitats, and so cause changes in the types and range of species present. This may break the requirements of laws such as the Water Framework Directive, which would prevent you from getting permission for your scheme. We may ask you to provide an analysis which indicates how you will make the effects of any changes less severe on macro-invertebrate populations (for example, by avoiding significant changes in water level, the speed of flow or other factors that could change habitats).
- 4d You may be able to get information on species of fish present in the affected waterbody (stretch of river) from your local Natural Resources Wales office. We will discuss this with you once we have received your pre-application. If Atlantic salmon (*Salmo salar*) and sea trout (*Salmo trutta*) are present, or there is an aim to reintroduce them to the river, you will normally need an upstream fish pass under the Salmon and Freshwater Fisheries Act of 1975, Section 9. Screening (SAFFA, S14) must be put in place unless we agree otherwise. We can ask you to provide a fish pass around the structure in the future.
- To meet the requirements of the Water Framework Directive you need to consider passage not only for other major migratory species such as lamprey, eels and shad, but also for brown trout, grayling and coarse fish.
- Some species such as lampreys, shad and bullhead are protected by the European Habitats Directive.
- As a result of the European eel stock being below its conservation limit, there is a European management plan requiring specific improvements to obstructions to help the eels migrate. Eels are particularly vulnerable on their downstream migration and so you need to have adequate screens in place. Eel protection measures may be needed so that your scheme complies with Part 4 of the Eels Regulations (England and Wales) 2009.
- Conservation laws and regulations could change after these guidelines have been published. So you must check for up-to-date regulations.
- If Salmon Action Plans, River Basin Management Plans, Fisheries Action Plans or Eel Management Plans are available, you must consider them in your proposal for the hydroelectric-power scheme.
- 4e Fish passage and screening are dealt with in section 4 of the Good Practice Guidelines for Hydroelectric-power. The effectiveness and efficiency of any existing fish pass will need to be maintained or even improved for a scheme to get the necessary permissions.
- 4f The quality and extent of spawning and nursery areas are significant in providing future adult populations. If your scheme will affect these areas, you will need to consider the appropriate fisheries and environmental laws, such as the Water Framework Directive.
- 4g We have a legal duty to maintain, improve and develop salmon, trout, freshwater and eel fisheries. Schemes should be designed so they do not affect associated fisheries.
- 4h You may be able to get information relating to fish in the affected waterbody (stretch of river) from your local Natural Resources Wales office. We will discuss this with you once we have received your pre-application. If this information is not available, you may need to carry out a survey to make sure the ecological status of the waterbody will not be prevented from reaching good ecological status, or worsen as a result of the scheme. Your scheme must avoid significant changes in fish communities. Changes could arise where schemes significantly alter the water level, speed of flow, surface of the river bed or turbidity (cloudiness) of a river. This would be likely to change habitats, and so cause changes in the type and range of species present. This may break the requirements of laws such as the Water Framework Directive which would prevent you from getting permission for your scheme. We may ask you to provide an analysis which indicates how you will reduce the effect of changes in fish populations (for example, by avoiding significant changes in water level, speed of flow or other factors that could change habitats).

5 Managing the risk of flood

	Yes	No
Will the proposed scheme increase the potential of flood, either by reducing the cross section or by slowing flows? (See note 5a for more information.)		
Does your scheme propose any alterations to structures, or building new structures in the river (such as weirs, dams, culverts or outfalls), or affect existing flood defences (such as embankments or walls)? (See note 5a for more information.)		
Does the scheme create new channels or change the flow path in any way? (See note 5a for more information.)		
Does the scheme propose to deepen any existing channels? (See note 5a for more information.)		
Is the scheme in the floodplain as shown on the Environment Agency's flood map? (See notes 5a and 5b for more information.)		
Will the scheme change the available access to the river or neighbouring flood defences for maintenance (for example, by building fences or walls around new structures, or installing overhead cables)? (See note 5c for more information.)		
Does the scheme reduce the available floodplain area or block potential routes of floods over land? (See note 5c for more information.)		
Does the scheme create a new raised reservoir with the capacity of 25,000 cubic metres or more? (See note 5d for more information.)		
Could the cumulative effect of your proposal along with other proposals increase the risk of flood risk or have a negative effect on land drainage? (See note 5a for more information.)		

Notes

- 5a You are likely to need formal written consent (flood defence consent) from us for these activities. To make sure there is no increased risk of flooding in the area, you will probably need to carry out a flood-risk assessment to show that the effects of your proposal can be managed satisfactorily. Some construction activities may also need planning permission, and you should get the views of the local planning authority. The booklet 'Living On The Edge' (available on the Environment Agency website at <http://publications.environment-agency.gov.uk/pdf/GEHO0407BMFL-e-e.pdf>) provides more information.
- 5b You can find out where your scheme is on the flood map by visiting the Environment Agency website at www.environment-agency.gov.uk/homeandleisure/floods/31650.aspx.
- 5c Operating authorities (including us) on main rivers, Internal Drainage Boards, and local authorities, have responsibilities to maintain watercourses to reduce the risk of flood. This is particularly important at river-control structures which may need to be maintained and cleared of debris. For this reason, vehicles need to be able to get access to these structures, and people need to be able to work safely around them.
- 5d Structures of this size will qualify as statutory reservoirs, and need to be designed and inspected as such. See the Environment Agency website at www.environment-agency.gov.uk/business/sectors/64253.aspx for more information.

6 Navigation

	Yes	No
Is the proposed scheme in an inland waterway that is open to navigation (passage of boats) and is managed by a UK navigation authority? (See note 6a for more information.)		
Could the scheme affect water levels upstream or downstream of the structure? (See note 6b for more information.)		
Could the scheme affect access for any recreational users of the waterway (for example, canoeists, walkers, anglers)? (See note 6c for more information.)		
Could the scheme reduce how much water is available for boats passing through locks during low flows? (See note 6d for more information.)		
Could the scheme affect a waterway used for navigation in any other way? (See note 6e for more information.)		

Notes

- 6a Inland waterways are navigable channels, rivers and lakes, and all associated land (for example towpaths). There is a list of the main inland waterways in England and Wales, and their navigation authorities, on the Inland Waterways Association website at www.waterways.org.uk/waterways/canals_rivers/a_z_waterways.
- 6b Some waterways have water levels that are set in law or by service levels. You need to check with the navigation authority, as early as possible, to see if your scheme could affect water levels.
- 6c You need to check with the navigation authority to see if your scheme will affect recreational users of a waterway.
- 6d Some waterways have a public right of navigation, set by law. You need to check with the navigation authority, as early as possible, to see if your scheme could affect this.
- 6e You may need permission from the navigation authority if your scheme affects a waterway. For example, the location of your turbine could cause unacceptable cross-flow in the navigation channel. You need to check with the navigation authority as early as possible.

Thank you for filling in this checklist. Please give your name, the site name and the name of the watercourse below.

Title (Mr, Mrs, Miss and so on) _____

First name _____

Last name _____

Site name and name of watercourse

Feedback

(You don't have to answer this part of the form, but it will help us improve our forms if you do.)

We want to make our forms easy to fill in and our guidance notes easy to understand. Please use the space below to give us any comments you may have about this form or the guidance notes that came with it.

How long did it take you to fill in this form? _____

We will use your feedback to improve our forms and guidance notes, and to tell the Government how regulations could be made simpler.

Would you like a reply to your feedback?

Yes please ☐

No thank you ☐

